

Exhibit

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List of Trial Episodes

Government Exhibit Number	Episode Information
2	Episode 272: Trading in ONTX between July 16, 2020 and August 26, 2020
3	Episode 332: Trading in SXTX between September 11, 2020 and September 14, 2020
4	Episode 91: Trading in CBAT between January 06, 2021 and February 23, 2021
5	Episode 345: Trading in TRCH between February 10, 2021 and February 23, 2021
6	Episode 359: Trading in VISL between February 04, 2021 and March 30, 2021
7	Episode 140: Trading in GTT between February 24, 2021 and February 25, 2021
8	Episode 303: Trading in RGLS between March 11, 2021 and March 12, 2021
9	Episode 304: Trading in RGLS between June 03, 2021 and June 10, 2021
10	Episode 329: Trading in SURF between May 05, 2021 and June 03, 2021
11	Episode 40: Trading in ALZN between June 15, 2021 and July 23, 2021
12	Episode 348: Trading in UPC between July 22, 2021 and July 23, 2021
13	Episode 1: Trading in ABVC between August 10, 2021 and August 11, 2021
14	Episode 94: Trading in CEI between August 03, 2021 and November 30, 2021
15	Episode 111: Trading in DATS between August 13, 2021 and October 26, 2021
16	Episode 236: Trading in NAKD between September 24, 2021 and October 04, 2021
17	Episode 128: Trading in EZFL between September 15, 2021 and October 11, 2021
18	Episode 229: Trading in MYSZ between October 26, 2021 and November 02, 2021
19	Episode 284: Trading in PIXY between March 29, 2022 and March 31, 2022
20	Episode 58: Trading in BBI between April 13, 2022 and April 22, 2022
24	Episode 35: Trading in AIHS between September 22, 2021 and September 24, 2021
26	Episode 57: Trading in BAOS between April 19, 2022 and April 27, 2022
27	Episode 65: Trading in BBLG on January 10, 2022
28	Episode 99: Trading in CNTX between October 20, 2021 and December 21, 2021
29	Episode 130: Trading in FCEL between October 04, 2021 and October 20, 2021
30	Episode 147: Trading in HCWB between October 18, 2021 and October 22, 2021
31	Episode 217: Trading in METX between October 12, 2021 and October 29, 2021
32	Episode 221: Trading in MFH between March 22, 2021 and March 23, 2021
33	Episode 223: Trading in MITQ on July 26, 2021
34	Episode 274: Trading in ONTX between February 03, 2021 and March 19, 2021
35	Episode 312: Trading in SFET between August 06, 2021 and August 09, 2021
36	Episode 321: Trading in SNOA on May 03, 2021
38	Episode 328: Trading in SUPV between February 17, 2021 and February 23, 2021
39	Episode 330: Trading in SURG on November 09, 2021
41	Episode 361: Trading in VRPX between June 30, 2021 and July 15, 2021
43	Episode 390: Trading in XRTX between November 03, 2021 and November 05, 2021
45	Episode 396: Trading in ZSAN between March 21, 2022 and April 05, 2022
356	Episode 5 - ACST - June 03, 2020 to June 05, 2020
368	Episode 17 - ADMP - April 28, 2021 to May 11, 2021
392	Episode 45 - APRE - July 21, 2021
400	Episode 54 - AVGR - November 10, 2020
406	Episode 62 - BBIG - April 21, 2021 to April 23, 2021

457	Episode 117 - DLPN - October 16, 2020 to October 19, 2020
473	Episode 135 - GRTX - October 27, 2021 to October 28, 2021
480	Episode 145 - HCDI - May 10, 2021 to May 12, 2021
481	Episode 146 - HCDI - November 04, 2021 to November 05, 2021
512	Episode 178 - KAVL - October 13, 2021 to October 20, 2021
521	Episode 187 - KIQ - October 20, 2021 to November 18, 2021
525	Episode 191 - KXIN - February 10, 2021 to February 11, 2021
542	Episode 208 - MDRR - March 11, 2021 to March 15, 2021
543	Episode 209 - MDRR - May 07, 2021 to May 17, 2021
566	Episode 237 - NETE - October 13, 2020 to October 14, 2020
573	Episode 244 - NOVN - May 13, 2021
619	Episode 293 - PPSI - January 12, 2021 to January 22, 2021
670	Episode 356 - USEG - March 07, 2022